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PATENT  
DEC 12 2001

TECHNOLOGY CENTER 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Michael Chen

Filing Date: 08/17/2000

Art Unit: 2872

Serial No.: 09/639,825

Docket No.: AVI007

#6/A  
K9  
1-4-01

10 Title: SCANNER WITH AN OUTPUT PORT CONNECTED TO A PRINTER  
OR A STORAGE DEVICE

To: Assistant Commissioner for Patents  
Washington, D.C. 20231

15 Subject: Preliminary amendment for the above-identified  
application.

Dear Sir:

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Technology Center 2600

20

**AMENDMENT**

Please amend the above-identified application as follows:

In the claims:

- 25 1. (Amended) A scanner comprising:  
a casing;  
a scanning module installed inside the casing for scanning  
a document and generating corresponding image data;  
a control unit installed in the casing, the control unit  
30 comprising at least a memory for storing a control  
program and the image data generated from the scanning  
module, and a processor for executing the control



2872

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |                      |                        |        |
|--|----------------------|------------------------|--------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/639,825             |        |
|  | Filing Date          | 08/17/2000             |        |
|  | First Named Inventor | Michael Chen           |        |
|  | Group Art Unit       | 2872                   |        |
|  | Examiner Name        |                        |        |
| Total Number of Pages in This Submission   | 22                   | Attorney Docket Number | AVI007 |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks  |   | <b>RECEIVED</b><br>DEC 14 2001<br>Technology Center 2600   |
|  |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                    |
|--|--------------------|
| Firm or Individual name                    | WINSTON HSU        |
| Signature                                  | <i>Winston Hsu</i> |
| Date                                       | 12/6/2001          |

| CERTIFICATE OF MAILING   |  |      |  |
|--|--|------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> |  |      |  |
| Typed or printed name  |  |      |  |
| Signature  |  | Date |  |

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PTO/SB/17 (10-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

0

## Complete if Known

|                      |              |
|----------------------|--------------|
| Application Number   | 09/639,825   |
| Filing Date          | 08/17/2000   |
| First Named Inventor | Michael Chen |
| Examiner Name        |              |
| Group Art Unit       | 2872         |
| Attorney Docket No.  | AVI007       |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0801

Deposit  
Account  
NameNorth America International Patent  
Office

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |     |     |     |                        |  |
|-----|-----|-----|-----|------------------------|--|
| 101 | 740 | 201 | 370 | Utility filing fee     |  |
| 106 | 330 | 206 | 165 | Design filing fee      |  |
| 107 | 510 | 207 | 255 | Plant filing fee       |  |
| 108 | 740 | 208 | 370 | Reissue filing fee     |  |
| 114 | 160 | 214 | 80  | Provisional filing fee |  |

Fee Paid

SUBTOTAL (1) (\$)

0

## 2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | X              |          |
| Independent Claims | -3** =       | X              |          |
| Multiple Dependent |              |                |          |

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |     |     |     |  |  |
|-----|-----|-----|-----|--|--|
| 103 | 18  | 203 | 9   | Claims in excess of 20                                     |  |
| 102 | 84  | 202 | 42  | Independent claims in excess of 3                          |  |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid                      |  |
| 109 | 84  | 209 | 42  | ** Reissue independent claims over original patent         |  |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |  |

SUBTOTAL (2)

(\$)

0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Fee Code            | Large Entity (\$) | Small Entity (\$) | Fee Description | Fee Paid   |  |
|---------------------|-------------------|-------------------|-----------------|--|--|
| 105                 | 130               | 205               | 65              | Surcharge - late filing fee or oath  |  |
| 127                 | 50                | 227               | 25              | Surcharge - late provisional filing fee or cover sheet                     |  |
| 139                 | 130               | 139               | 130             | Non-English specification  |  |
| 147                 | 2,520             | 147               | 2,520           | For filing a request for ex parte reexamination                            |  |
| 112                 | 920*              | 112               | 920*            | Requesting publication of SIR prior to Examiner action                     |  |
| 113                 | 1,840*            | 113               | 1,840*          | Requesting publication of SIR after Examiner action                        |  |
| 115                 | 110               | 215               | 55              | Extension for reply within first month                                     |  |
| 116                 | 400               | 216               | 200             | Extension for reply within second month                                    |  |
| 117                 | 920               | 217               | 460             | Extension for reply within third month                                     |  |
| 118                 | 1,440             | 218               | 720             | Extension for reply within fourth month                                    |  |
| 128                 | 1,960             | 228               | 980             | Extension for reply within fifth month                                     |  |
| 119                 | 320               | 219               | 160             | Notice of Appeal   |  |
| 120                 | 320               | 220               | 160             | Filing a brief in support of an appeal                                     |  |
| 121                 | 280               | 221               | 140             | Request for oral hearing   |  |
| 138                 | 1,510             | 138               | 1,510           | Petition to institute a public use proceeding                              |  |
| 140                 | 110               | 240               | 55              | Petition to revive - unavoidable   |  |
| 141                 | 1,280             | 241               | 640             | Petition to revive - unintentional   |  |
| 142                 | 1,280             | 242               | 640             | Utility issue fee (or reissue)   |  |
| 143                 | 460               | 243               | 230             | Design issue fee   |  |
| 144                 | 620               | 244               | 310             | Plant issue fee  |  |
| 122                 | 130               | 122               | 130             | Petitions to the Commissioner  |  |
| 123                 | 50                | 123               | 50              | Processing fee under 37 CFR 1.17(q)  |  |
| 126                 | 180               | 126               | 180             | Submission of Information Disclosure Stmt                                  |  |
| 581                 | 40                | 581               | 40              | Recording each patent assignment per property (times number of properties) |  |
| 146                 | 740               | 246               | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |  |
| 149                 | 740               | 249               | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |  |
| 179                 | 740               | 279               | 370             | Request for Continued Examination (RCE)                                    |  |
| 169                 | 900               | 169               | 900             | Request for expedited examination of a design application                  |  |
| Other fee (specify) |                   |                   |                 |  |  |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

0

## SUBMITTED BY

Name (Print/Type)

WINSTON HSU

Registration No.  
(Attorney/Agent)

41,526

## Complete (if applicable)

Telephone

886-2-8923-7350

Signature

Winston Hsu

Date

12/6/2001

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